F 278 (Rev. 03/2000)
C.F.R. Part 2634
.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	**Reporting Status** (Check Appropriate Boxes)	Incumbent ☐ / Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ / Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Santorum		First Name and Middle Initial: Rick J.	
Position for Which Filing	Title of Position: President		Department or Agency *(If Applicable)*	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State , and ZIP Code)*: PO Box 37, Verona PA 15147			Telephone No. *(Include Area Code)*: 515-421-7224
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: Not Applicable			
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No	

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Rick Santorum	Date *(Month, Day, Year)*: 8/2/11
Other Review (If desired by agency)	Signature of Other Reviewer	Date *(Month, Day, Year)*
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Lawrence [illegible]	Date *(Month, Day, Year)*: 10/25/11
Office of Government Ethics Use Only	Signature	Date *(Month, Day, Year)*

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days 75 *)* ☑

Reviewed for Apparent Compliance with the Federal Election Campaign Act

(Check box if comments are continued on the reverse side) ☐

OFFICE OF GENERAL COUNSEL
2011 SEP 27 PM 2:1
FEDERAL ELECTION COMMISSION

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

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Supersedes Prior Editions, Which Cannot Be Used.

278-112

NSN 7540-01-070-8444

OGE/Adobe Acrobat version 1.0.2 (11/01/2004)

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Reporting Individual's Name: Santorum, Rick J.

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x												x						x										
Examples: Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x									
Examples: IRA: Heartland 500 Index Fund							x						x											x								
1 Rental Property: 306 Beaver Plaza State College, PA					X												X								X							
2 Rental Property: 304 Beaver Plaza State College, PA					X												X								X							
3 Rental Property: 603 Beaver Terrace State College, PA					X												X								X							
4 Rental Property: 604 Beaver Terrace State College, PA					X												X								X							
5 Rental Property: 610 Beaver Terrace State College, PA					X												X								X							
6 Checking Account: PNC Bank				X														X		X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Checking Account: BB&T		X																X		X												
2	Checking Account: Citibank		X																X		X												
3	Universal Health Services, Inc. Stock King of Prussia, PA					X											X			X				X								$395,414 - Director Fees/ Stock Options	
4	IRA: Stifel Financial (Civic Science, Inc. Stock)			X																	X												
5	IRA: Stifel Financial (iSHARES iBOXX Corp. Bond Fund)				X																X												
6	IRA: Stifel Financial (Apple Computer Stock)			X													X				X												
7	IRA: Stifel Financial (American Funds - Washington Mutual Investors Fund)			X										X							X												
8	IRA: Stifel Financial (American Funds - Ticker: ABNDX)			X										X							X												
9	IRA: Stifel Financial (Opnext, Inc. Stock)		X														X				X												

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Reporting Individual's Name
Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA: Stifel Financial (SPDR Gold Trust - ETF)		X														X				X												
2	IRA: Stifel Financial (Oncogenex Pharmaceuticals, Inc. Stock)		X														X				X												
3	IRA: Stifel Financial (Market Vectors Gold Miners Fund)		X											X							X												
4	IRA: Stifel Financial (Expedia, Inc. Stock)		X														X				X												
5	IRA: Stifel Financial (Range Resources Corporation Stock)		X														X				X												
6	IRA: Stifel Financial (JDS Uniphae Corporation)		X														X				X												
7	IRA: Stifel Financial (Market Vectors ETF Jr. Gold Minders Fund)		X														X				X												
8	IRA: Stifel Financial (Frontier Communications Corporation Stock)		X														X				X												
9	IRA: Stifel Financial (Keryx Biopharmaceuticals, Inc. Stock)		X														X				X												

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Reporting Individual's Name: Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

BLOCK A: Assets and Income	BLOCK B: Valuation of Assets at close of reporting period: None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	BLOCK C: Income Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 IRA: Stifel Financial (Annaly Capital Management, Inc. Stock)			X													X				X												
2 IRA: Stifel Financial (Investment Co. of America Mutual Fund)		X											X			X				X												
3 IRA: Stifel Financial (Universal Health REIT)		X														X				X												
4 IRA: Stifel Financial (Bank of America Stock)		X														X				X												
5 IRA: Stifel Financial (General Mills Stock)		X														X				X												
6 IRA: Stifel Financial (Exfo Inc. Stock)		X														X				X												
7 IRA: Stifel Financial (Rex Energy Corporation Stock)		X														X				X												
8 IRA: Stifel Financial (Ishares Silver Trust ETF)		X														X				X												
9 IRA: Stifel Financial (Finisar Corporation Stock)		X														X				X												

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Reporting Individual's Name
Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA: Stifel Financial (Fabrinet Inc. Stock)		X														X				X												
2	IRA: Stifel Financial (Dreyfus Money Market Account)			X															X		X												
3	529 Plan (Sarah Maria): Stifel Financial (American Funds - Ticker: CICAX)		X											X							X												
4	529 Plan (Sarah Maria): Stifel Financial (American Funds - Ticker: CLBAX)		X											X							X												
5	529 Plan (Sarah Maria): Stifel Financial (American Funds - Ticker: CITAX)		X											X							X												
6	529 Plan (Sarah Maria): Stifel Financial (American Funds - Ticker: CFAAX)		X											X							X												
7	529 Plan (Sarah Maria): Stifel Financial (American Funds - Ticker: CGFAX)		X											X							X												
8	529 Plan (Daniel): Stifel Financial (American Funds - Ticker: CICAX)		X											X							X												
9	529 Plan (Daniel): Stifel Financial (American Funds - Ticker: CLBAX)		X											X							X												

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Reporting Individual's Name

Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 529 Plan (Daniel): Stifel Financial (American Funds - Ticker: CITAX)		X											X							X												
2 529 Plan (Daniel): Stifel Financial (American Funds - Ticker: CFAAX)		X											X							X												
3 529 Plan (Daniel): Stifel Financial (American Funds - Ticker: CGFAX)		X											X							X												
4 529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CNWAX)		X											X							X												
5 529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CLBAX)		X											X							X												
6 529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CITAX)		X											X							X												
7 529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CFAAX)		X											X							X												
8 529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CWIAX)		X											X							X												
9 529 Plan (Patrick): Stifel Financial (American Funsd - Ticker: CGFAX)		X											X							X												

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Reporting Individual's Name: Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	529 Plan (Patrick): Stifel Financial (American Funds - Ticker: CICAX)		X											X							X												
2	529 Plan (Peter): Stifel Financial (American Funds - Ticker: CICAX)		X											X							X												
3	529 Plan (Peter): Stifel Financial (American Funds - Ticker: CLBAX)		X											X							X												
4	529 Plan (Peter): Stifel Financial (American Funds - Ticker: CITAX)		X											X							X												
5	529 Plan (Peter): Stifel Financial (American Funds - Ticker: CFAAX)		X											X							X												
6	529 Plan (Peter): Stifel Financial (American Funds - Ticker: CGFAX)		X											X							X												
7	529 Plan (John): Stifel Financial (American Funds - Ticker CWIAX)		X											X							X												
8	529 Plan (John): Stifel Financial (American Funds - Ticker: CITAX)		X											X							X												
9	529 Plan (John): Stifel Financial (American Funds - Ticker: CFAAX)		X											X							X												

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Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA (Spouse): American Funds - Ticker: ANCFX			X										X			X				X												
2	IRA (Spouse): American Funds - Ticker: AMECX			X										X			X				X												
3	IRA (Spouse): American Funds - Ticker: ABALX			X										X			X				X												
4	E*Trade Account: Opnext, Inc. Stock		X														X				X												
5	E*Trade Account: Keryx Biopharmaceuticals, Inc. Stock		X														X				X												
6	E*Trade Account: Rex Energy Corporation Stock		X														X				X												
7	E*Trade Account: JDS Uniphase Corporation Stock		X														X				X												
8	E*Trade Account: Frontier Communications Corporation Stock		X														X				X												
9	E*Trade Account: Finisar Corporation Stock		X														X				X												

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Reporting Individual's Name
Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	E*Trade Account: Exfo Inc. Stock		X														X				X												
2	E*Trade Account: Oclaro, Inc. Stock		X														X				X												
3	E*Trade Account: Fabrinet, Inc. Stock		X														X				X												
4	E*Trade Account: Oncogenex Pharmaceuticals, inc. Stock		X														X				X												
5	Ethics and Public Policy Center, Washington, DC																																$217,385 - Senior Fellow Compensation	
6	News Corp., New York, NY																																$239,153 - Contributor Compensation	
7	Philadelphia Inquirer, Philadelphia, PA																																$23,000 - Columnist Compensation	
8	Salem Radio, Camarillo, CA																																$83,999 - Talk Show Host Compensation	
9	Consol Energy Inc., Canonsburg, PA																																$142,500 - Consultant Compensation	

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Reporting Individual's Name: Santorum, Rick J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C (Type / Amount)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Clapham Group, Washington, DC																															$125,000 - Consultant Compensation	
2	American Continental Group, Washington, DC																															$65,000 - Consultant Compensation	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
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Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	Not Applicable																
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1	Not Applicable		
2			
3			
4			
5			

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Santorum, Rick J.

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Not Applicable																
2																	
3																	
4																	
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16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001- $250,000	$250,001 - $500,000	$500,001- $1,000,000	Over $1,000,000*	$1,000,001- $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1	M&T Bank, Buffalo, NY	Mortgage on rental properties, State College, PA	2009	4.25	15 yrs.				X							
2	M&T Bank, Buffalo, NY	Mortgage on rental properties, State College, PA	2009	5.46	15 yrs.					X						
3	Ford Credit, Sterling, VA	Automobile Loan	2011	6.09	6 yrs.		X									
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	None		
2			
3			
4			
5			
6			

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Universal Health Services, King of Prussia, PA	Hospital Management	Board of Directors Member	04/2007	06/2011
2	Christendom College, Front Royal, VA	Non-profit Education	Advisory Board Member	06/2007	06/2011
3	Ethics and Public Policy Center, Washington, DC	Christian Policy Center	Senior Fellow	01/2007	06/2011
4	News Corp., New York, NY	Media Conglomerate	News Contributor	02/2007	03/2011
5	Philadelphia Inquirer, Philadelphia, PA	Newspaper	Newspaper Columnist	11/2007	07/2011
6	Salem Radio, Camarilla, CA	Radio Station	Talk Show Host	07/2009	06/2011

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Universal Health Services, King of Prussia, PA	Advise company as Member of Board of Directors
2	Ethics and Public Policy Center, Washington, DC	Policy analysis
3	News Corp., New York, NY	News Contributor
4	Philadelphia Inquirer, Philadelphia, PA	Newspaper Columnist
5	Salem Radio, Camarilla, CA	Talk Show Host
6	CONSOL Energy Inc., Canonsburg, PA	Energy policy consulting services

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	CONSOL Energy Inc., Canonsburg, PA	Diversified Energy Company	Consultant	07/2007	06/2011
2	Clapham Group, Washington, DC	Consulting Firm	Consultant	10/2010	Present
3	American Continential Group, Washington, DC	Government Affairs and Consulting Firm	Consultant	07/2009	Present
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Clapham Group, Washington, DC	Consulting services.
2	American Continental Group, Washington, DC	Legislative policy consulting services
3	Fortegra Financial (client of American Continental Group), Jacksonville, FL	Consulting in connection with insurance processing policy
4		
5		
6		